

To,

Frontline Capital Services Ltd
B-22, Sector-4,
Noida, U.P-201301

Dear Sir,

I/we understand that as per the guidelines prescribed by SEBI, the client is required to share financial information to the stock broker on a periodic basis. In view of these guidelines, please update my/our financial details as mentioned below.

| | |
|---|--|
| A) Financial Details Gross Annual Income Or Net Worth (Should not be older than 1 Year) | Income Range: As on date <input type="checkbox"/> Below Rs. 1 Lakh <input type="checkbox"/> Rs. 1-5 Lakh <input type="checkbox"/> Rs. 5-10 Lakh <input type="checkbox"/> Rs. 10-25 lakh <input type="checkbox"/> Above Rs. 25 Lakh Net Worth Rs..... As on date..... |
| B) Occupation (Please tick any one) | <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturalist <input type="checkbox"/> Retired <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Others(Please Specify) |
| C) Please tick , if applicable | <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not a Politically Exposed Person (PEP) |
| D) Contact Information | Mobile Number : Landline Number : Email ID : |
| E) Proof of Financial Details* (any one of the following) Applicable for Clients Trading in Derivatives Segment | <input type="checkbox"/> Copy of ITR Acknowledgement <input type="checkbox"/> Copy of Annual Accounts (For Corporates) <input type="checkbox"/> Salary Slip or Form 16 (In case of Salary Income) <input type="checkbox"/> Net Worth Certificates duly certified by Chartered Accountant <input type="checkbox"/> Copy of Demat Holding Statement <input type="checkbox"/> Bank account Statement for last 6 months <input type="checkbox"/> Self-declaration along with relevant supporting documents |

Signature :

Date :

Name of Client :

Place :

Client Code :

Date:

Frontline Capital Services Ltd.
Member : NSE, BSE,
M-6, IInd Floor, M-Block Market,
Greater Kailash-II,
New Delhi-110048

Sub:- Running Account Authorization

Dear Sir,

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchanges(s)/ Clearing corporation unless I/we instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/Pay-in/other-future obligation(s) at any segment(s) of any or all the Exchange(s)/ Clearing corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account/Once in every calendar Quarter or/once in a calendar Month or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and /or Fixed Deposit Receipt.
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. You shall transfer the funds/securities lying in the credit with me/us within 1 working day of the request if the same are lying with me/us and within 3 working days from the request if the same are lying with you.
7. I/we Can revoke the above mentioned authority any time.

My/Our preference for actual settlement of funds & securities is at least:

☐

Once in a Calendar Quarter

☐

Once in a Calendar Month

Thanking you,
Yours faithfully,

Signature

Client Code:-.....